

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No:

10/767,663

Applicant: Filed:

Yossi Gross et al. January 29, 2004

Title:

ACTIVE DRUG DELIVERY IN THE GASTROINTESTINAL TRACT

TC/A.U.:

Examiner:

INFORMATION DISCLOSURE STATEMENT

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (RP) submitted herewith. A copy of each of the listed foreign and non-patent references is also enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

This Information Disclosure Statement is being filed before mailing of the first Official Action. No first Official Action has yet been received and it is presumed that none has yet been mailed. No fee or statement is required. 37 C.F.R. § 1.97(b).

Respectfully submitted,

RatnerPrestia

Jacques L. Etkowicz, Reg. No. 41,738

Attorney for Applicants

JLE/kc

Enclosures: PTO Form 1449

(12) References Transmittal Form

Dated: August 9, 2004

P.O. Box 980 Valley Forge, PA 19482 (610) 407-0700

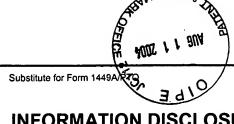
The Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. **18-0350** of any fees associated with this communication.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

August 9, 2004

Kathleen Carney

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

SHEET 1 of 4

N GE	Complete if Known	
Application Number	10/767,663	
Filing Date	January 29, 2004	
First Named Inventor	Yossi Gross	
Art Unit	1614	
Examiner Name		
Attorney Docket No.	SC&C-100US	

	U.S. PATENT DOCUMENTS								
Examiner Initials*	Cite No.1	Document Number Number - Kind Code ² (if known)	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear				
		US-3,057,344	05/1957	Abella et al.					
		US-3,118,439	03/1958	Perrenoud					
		US-3,315,660	08/1963	Abella					
		US-3,485,235	12/1967	Felson					
		US-3,659,600	05/1972	Merrill					
		US-4,239,040	12/1980	Hosaya et al.					
		US-4,425,117	01/1984	Hugemann et al.					
		US-4,507,115	03/1985	Kambara et al.					
		US-4,844,076	09/1989	Lesho et al.					
		US-4,869,248	09/1989	Narula					
		US-5,167,626	12/1992	Casper et al.					
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		US-5,279,607	01/1994	Schentag et al.					
	1	US-5,395,366	03/1995	D'Andrea et al.					
		US-5,464,395	11/1995	Faxon et al.					
		US-5,604,531	02/1997	Iddan et al.					
	i	US-5,674,196	10/1997	Donaldson et al.					
		US-5,925,030	07/1999	Gross et al.					
		US-5,947,921	09/1999	Johnson et al.					

	FOREIGN PATENT DOCUMENTS								
Superior City		Foreign Patent Document	Dublication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant				
Examiner Initials*	Cite No. ¹	Country Code ³ - Number ⁴ - Kind Code ⁵ (If known)	Publication Date (MM-DD-YYYY)	Applicant of Cited Document	Passages or Relevant Figures Appear	T⁵			
		SU 1223922A	04/15/1986	Perkarasky et al.					
		WO 97/26042	07/24/1997	Terekhin et al.					
		WO 97/31679	09/04/1997	Nikolaevich et al.					
		WO 01/4552 A1	06/28/2001	Houzego et al.					
		WO 02/094369 A1	11/28/2002	Walla					
		WO 02/098501 A2	12/11/2002	Keisari et al.					

Examiner	***	Date	
Signature		Considered	ļ

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¹Applicant's unique citation designation number (optional).

²See Kind Codes of USPTO Patent Documents at www.uspto.goy or MPEP 901.04.

³Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

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The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

^{*}For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. *Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

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Substit	Substitute for Form 1449A/PTO			Complete if Known			
				Applic	ation Number	10/767,663	
INFORMATION DISCLOSURE					Date	January 29, 2004	
STATEMENT BY APPLICANT				First Named Inventor		Yossi Gross	
(Use as many sheets as necessary)				Art Un	it	1614	
				Exami	ner Name		
		SHEET	2 of 4	Attorn	ey Docket No.	SC&C-100US	
			U.S. PAT	ENT D	OCUMENTS		
		Document Number					Pages, Columns, Lines, Where
Examiner Initials*	2 (if known)		Publication (MM-DD-)			e of Patentee or of Cited Document	Relevant Passages or Relevant Figures Appear
		US-5,951,538	09/1999		Joshi et al.		
	1	HC F 061 402	10/1000		Chian at al		

Examiner Initials*	Cite No.1	Number - Kind Code ^{2 (if known)}	Publication Date (MM-DD-YYYY)		of Patentee or f Cited Document	Relevant Passages or Relevan Figures Appear
		US-5,951,538	09/1999	Joshi et al.		
		US-5,961,482	10/1999	Chien et al.		
		US-5,964,726	10/1999	Korenstein e	et al.	
		US-5,983,131	11/1999	Weaver et a	l.	
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•		US-6,344,027	02/2002	Goll et al.		
	I	US-6,369,039	04/2002	Palasis et al	,	
		US-6,428,469	08/2002	Iddan et al.		
		US-6,443,945	09/2002	Marchitto et	al.	
		US-6,471,696	10/2002	Berube et al		-
		F	OREIGN PATE	NT DOCUMENT	rs	· · · · · · · · · · · · · · · · · · ·
		Foreign Patent Docum	ent		Name of Patentee or	Pages, Columns, Lines, Where Relevant

Examiner **Publication Date** Applicant of Cited Cite Passages or Relevant Country Code3 - Number4 - Kind Code5 (If known) T⁶ Initials* No.1 (MM-DD-YYYY) Document Figures Appear

Examiner Date Considered Signature *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

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Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

³Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

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⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

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Substitute for Form 1449A/PTO		Complete if Known	
	Application Number	10/767,663	
INFORMATION DISCLOSURE	URE Filing Date January 29, 2004		
STATEMENT BY APPLICANT	First Named Inventor	Yossi Gross	
(Use as many sheets as necessary)	Art Unit	1614	
	Examiner Name		
SHEET 3 of 4	Attorney Docket No.	SC&C-100US	
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Examiner Initials*	Cite No.1	Document Number Number - Kind Code ^{2 (if known)}	Publication Da			of Patentee or of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan Figures Appear
		US-6,477,410	11/2002		Henley et al		US-6,477,410
		US-6,491,657	12/2002		Rowe et al.		US-6,491,657
		US-2003/0093031	05/2003		Long et al.		US-2003/0093031
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		US-2003/0125788	07/2003		Long		US-2003/0125788
		US-6,632,216	10/2003		Houzego et	al.	US-6,632,216
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Examiner Initials*	Cite No.1	Foreign Patent Docum Country Code ³ - Number ⁴ - Kind			blication Date 1M-DD-YYYY)	Name of Patentee of Applicant of Cited Document	
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Examiner Signature						Date Considered	

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Substitute for Form 1449B/PTO	Complete if Known				
	Application Number	10/767,663			
INFORMATION DISCLOSURE	Filing Date	January 29, 2004			
STATEMENT BY APPLICANT	First Named Inventor	Yossi Gross			
(Use as many sheets as necessary)	Art Unit	1614			
	Examiner Name				

Attorney Docket No.

SHEET 4 of 4

SC&C-100US

Considered

		NON-PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T²
-		LAMBERT ET AL., "Autonomous telemetric capsule to explore the small bowel", Med. Biol. Eng. Comput. 29(2):191-6, 1991	
		M. LEONARD ET AL., "Iontophoresis-enhanced Absorptive Flux of Polar Molecules Across Intestinal Tissue <i>In Vitro</i> ", Pharmaceutical Research, vol. 17, no. 4:476-8, 2000	
		GHARTEY-TAGOE ET AL., "Electroporation-mediated delivery of molecules to model intestinal epithelia", International Journal of Pharmaceutics 270 (2004) 127-138	
		HILDEBRAND ET AL., "Intrinsic Neuroregulation of Ion Transport in Porcine Distal Jejunum", The Journal of Pharmacology and Experimental Therapeutics, Vol. 256, No. 1, 285-292	
		DR. PAUL CLEWLOW, "Inprofile giving your decision more precision", Issue No. 1, March 2002	
		IAN R. WILDING, "The Enterion Capsule: A Novel Technology for Understanding Biopharmaceutical Complexity of New Molecular Entities (NMEs)", Capsule Technology, Drug Delivery Technology, 2001	
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Signature

¹Applicant's unique citation designation number (optional).
²Applicant is to place a check mark here if English language translation is attached.

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